

Character-Theoretic Tools for Studying Linear Codes over Rings and Modules

Jay A. Wood

Department of Mathematics
Western Michigan University

<http://sites.google.com/a/wmich.edu/jaywood>

Algebraic Methods in Coding Theory

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10. Extension Problem for general weights

- ▶ Report on work of Dyshko for Lee weight (2017)
- ▶ Generalized to weights over modules
- ▶ Fourier transforms and linear independence of characters
- ▶ Recursive argument involving posets

Dyshko's work

- ▶ Sergii Dyshko has proved EP for the Lee weight over any $\mathbb{Z}/N\mathbb{Z}$ (2017)
- ▶ Part of his proof was a general criterion for any weight over $\mathbb{Z}/N\mathbb{Z}$ to have EP.
- ▶ Dyshko's ideas can be generalized to module alphabets.

Set up: the alphabet

- ▶ R finite ring, A finite left R -module
- ▶ Assume A is pseudo-injective and has cyclic socle.
- ▶ Pseudo-injective: for any submodule $B \subseteq A$ and injective homomorphism $f : B \rightarrow A$, f extends to isomorphism $A \rightarrow A$.
- ▶ Cyclic socle: implies that A injects into \widehat{R} and that \widehat{A} is a cyclic right R -module, with generating character χ .

Set up: the weight

- ▶ Let w be a weight on A ; $w : A \rightarrow \mathbb{C}$, $w(0) = 0$.
- ▶ Symmetry groups

$$G_{\text{lt}} = \{u \in \mathcal{U}(R) : w(ua) = w(a), a \in A\},$$

$$G_{\text{rt}} = \{\phi \in \text{GL}_R(A) : w(a\phi) = w(a), a \in A\}.$$

The problem

- ▶ Determine conditions on w that imply w has EP.
- ▶ EP: for $C \subseteq A^n$ and linear w -isometry $f : C \rightarrow A^n$, f extends to a G_{rt} -monomial transformation of A^n .

Some matrices

- ▶ For any submodule $B \subseteq A$, define a matrix $Q^B = (Q_{\phi,u}^B)$:

$$Q_{\phi,u}^B = \sum_{b \in B} w(b\phi)\chi(ub),$$

where $\phi \in \text{Stab}(B) \backslash \text{GL}_R(A) / G_{\text{rt}}$ and $u \in \text{Stab}(\chi|_B) \backslash \mathcal{U}(R) / G_{\text{lt}}$.

- ▶ Here, $\text{Stab}(B) = \{\phi \in \text{GL}_R(A) : b\phi = b, b \in B\}$ is the *point-wise* stabilizer of B .

Main result

- ▶ Condition: for each nonzero submodule $B \subseteq A$:

the matrix Q^B has zero left nullspace. (1)

Theorem

If (1) is satisfied, then w has EP.

Isometry condition

- ▶ Let $C \subseteq A^n$ be the image of $\Lambda : M \rightarrow A^n$,
 $\Lambda = (\lambda_1, \dots, \lambda_n)$, with information module $M \cong C$.
- ▶ Set $N = \Lambda f : M \rightarrow A^n$, $N = (\nu_1, \dots, \nu_n)$.
- ▶ f being a w -isometry means

$$\sum_{i=1}^n w(x\lambda_i) = \sum_{j=1}^n w(x\nu_j), \quad x \in M.$$

- ▶ Goal: show that the numbers of λ_i and ν_j in a given G_{rt} -orbit are equal.
- ▶ Method: take Fourier transform and set up linear equations in these numbers.

Fourier transform calculation

- ▶ Each $\lambda_i, \nu_j \in \text{Hom}_R(M, A)$.
- ▶ For arbitrary $\sigma \in \text{Hom}_R(M, A)$, what is the Fourier transform of $f_\sigma : M \rightarrow \mathbb{C}, x \mapsto w(x\sigma)$?
- ▶ For $\pi \in \widehat{M}$,

$$\widehat{f}_\sigma(\pi) = \sum_{x \in M} \pi(x) f_\sigma(x) = \sum_{x \in M} \pi(x) w(x\sigma).$$

- ▶ Write in terms of sum over values $x\sigma \in \text{im } \sigma$.

Re-write sum

- ▶ For $\pi \in \widehat{M}$,

$$\widehat{f}_\sigma(\pi) = \sum_{a \in \text{im } \sigma} \sum_{x: x\sigma=a} \pi(x)w(a) = \sum_{a \in \text{im } \sigma} w(a) \sum_{x: x\sigma=a} \pi(x).$$

- ▶ Because σ is a homomorphism, $\sum_{x: x\sigma=a} \pi(x)$ is a sum over a coset of $\ker \sigma$.
- ▶ Let $x_a \in M$ be one element with $x_a\sigma = a$. Then every $x \in M$ with $x\sigma = a$ has the form $x = x_a + k$ with $k \in \ker \sigma$.

Simplify character sum

$$\begin{aligned}
 \sum_{x: X\sigma=a} \pi(x) &= \sum_{k \in \ker \sigma} \pi(x_a + k) \\
 &= \pi(x_a) \sum_{k \in \ker \sigma} \pi(k) \\
 &= \begin{cases} |\ker \sigma| \pi(x_a), & \pi \in (\widehat{M} : \ker \sigma), \\ 0, & \pi \notin (\widehat{M} : \ker \sigma). \end{cases}
 \end{aligned}$$

Summary of calculation

- ▶ For $\pi \in \widehat{M}$,

$$\hat{f}_\sigma(\pi) = \begin{cases} |\ker \sigma| \sum_{a \in \text{im } \sigma} w(a) \pi(x_a), & \pi \in (\widehat{M} : \ker \sigma), \\ 0, & \pi \notin (\widehat{M} : \ker \sigma). \end{cases}$$

- ▶ When $\pi \in (\widehat{M} : \ker \sigma)$, the value of $\pi(x_a)$ depends only on a : π descends to well-defined character on $M / \ker \sigma \cong \text{im } \sigma$.
- ▶ When $\pi \in (\widehat{M} : \ker \sigma)$, write $(\mathcal{F}_{\text{im } \sigma} w)(\pi) = \sum_{a \in \text{im } \sigma} w(a) \pi(x_a) = \sum_{x \in M / \ker \sigma} w(x\sigma) \pi(x)$.

Dual maps

- ▶ For $\sigma \in \text{Hom}_R(M, A)$, $\sigma : M \rightarrow A$, there is the dual map $\hat{\sigma} : \hat{A} \rightarrow \hat{M}$ with image $\text{im } \hat{\sigma}$.
- ▶ Remember that \hat{A} is a cyclic right R -module, so $\text{im } \hat{\sigma}$ is also cyclic.
- ▶ $\text{im } \hat{\sigma} = (\hat{M} : \ker \sigma)$.
- ▶ If $\psi \in \hat{A}$, $x \in \ker \sigma$, $\hat{\sigma}(\psi)(x) = \psi(x\sigma) = \psi(0) = 1$.
- ▶ If $\pi \in (\hat{M} : \ker \sigma)$, π descends to well-defined character on $M/\ker \sigma \cong \text{im } \sigma \subseteq A$. Any lift $\tilde{\pi}$ of π under $\hat{A} \twoheadrightarrow (\text{im } \sigma)^\wedge$ has $\hat{\sigma}(\tilde{\pi}) = \pi$.

Fourier transform of isometry condition

- ▶ Let the indicator function of a subset $S \subseteq \widehat{M}$ be δ_S : value 1 on S , value 0 elsewhere.
- ▶ Isometry condition: $\sum_{i=1}^n w(x\lambda_i) = \sum_{j=1}^n w(x\nu_j)$.
- ▶ Fourier transform: an equation of functions on \widehat{M} :

$$\sum_{i=1}^n |\ker \lambda_i| (\mathcal{F}_{\text{im } \lambda_i} w) \delta_{\text{im } \hat{\lambda}_i} = \sum_{j=1}^n |\ker \nu_j| (\mathcal{F}_{\text{im } \nu_j} w) \delta_{\text{im } \hat{\nu}_j}.$$

Picking a maximal submodule

- ▶ The set of submodules of the character module \widehat{M} is partially ordered by set inclusion.
- ▶ Among the submodules $\text{im } \hat{\lambda}_i, \text{im } \hat{\nu}_j \subseteq \widehat{M}$, choose one that is maximal under set inclusion. Refer to it as $\text{im } \hat{\sigma}$, with $\sigma \in \text{Hom}_R(M, A)$.
- ▶ Recall that $\text{im } \hat{\sigma}$ is a cyclic right R -module. Denote by $\mathcal{U}(\text{im } \hat{\sigma})$ the set of all generators of $\text{im } \hat{\sigma}$. We restrict the Fourier transform equation to $\mathcal{U}(\text{im } \hat{\sigma}) \subseteq \widehat{M}$.

Exploiting the Fourier transform

- ▶ If $\pi \in \mathcal{U}(\text{im } \hat{\sigma})$, evaluating the Fourier transform equation at π yields nonzero terms only when $\pi \in \text{im } \hat{\lambda}_i$ or $\pi \in \text{im } \hat{\nu}_j$.
- ▶ Because π generates $\text{im } \hat{\sigma}$, this means $\text{im } \hat{\sigma} \subseteq \text{im } \hat{\lambda}_i$ or $\text{im } \hat{\sigma} \subseteq \text{im } \hat{\nu}_j$.
- ▶ But $\text{im } \hat{\sigma}$ was chosen to be maximal, so $\text{im } \hat{\sigma} = \text{im } \hat{\lambda}_i$ or $\text{im } \hat{\sigma} = \text{im } \hat{\nu}_j$.
- ▶ Thus $(\hat{M} : \ker \sigma) = (\hat{M} : \ker \lambda_i)$ or $(\hat{M} : \ker \sigma) = (\hat{M} : \ker \nu_j)$; $\ker \sigma = \ker \lambda_i$ or $\ker \sigma = \ker \nu_j$.

When are kernels equal?

- ▶ Let A be pseudo-injective. For $\sigma, \tau \in \text{Hom}_R(M, A)$, $\ker \sigma = \ker \tau$ if and only if $\sigma = \tau\phi$ for some $\phi \in \text{GL}_R(A)$.
- ▶ If $\sigma = \tau\phi$, then $x\sigma = 0$ iff $x\tau = 0$, as ϕ is invertible.
- ▶ If $\ker \sigma = \ker \tau$, σ, τ descend to well-defined injective maps $\bar{\sigma}, \bar{\tau} : M/\ker \tau \rightarrow A$. Set $B = \text{im } \bar{\tau} \subseteq A$. Then $\bar{\tau}^{-1}\bar{\sigma} : B \rightarrow A$ is injective.
- ▶ By pseudo-injectivity, $\bar{\tau}^{-1}\bar{\sigma}$ extends to $\phi \in \text{GL}_R(A)$; then $\bar{\sigma} = \bar{\tau}\phi$ and $\sigma = \tau\phi$.

Summary of exploitation

- ▶ Evaluating the Fourier transform equation at $\pi \in \mathcal{U}(\text{im } \hat{\sigma})$ yields

$$\sum_{\lambda_i \in \sigma \text{ GL}_R(A)} (\mathcal{F}_{\text{im } \lambda_i} w)(\pi) = \sum_{\nu_j \in \sigma \text{ GL}_R(A)} (\mathcal{F}_{\text{im } \nu_j} w)(\pi).$$

- ▶ The factors of $|\ker \lambda_i| = |\ker \sigma| = |\ker \nu_j|$ cancel.

Next steps

- ▶ Write equations in terms of G_{rt} -orbits, not just $GL_R(A)$ -orbits.
- ▶ Vary $\pi \in \mathcal{U}(\text{im } \hat{\sigma})$: get different equations for different G_{lt} -orbits.
- ▶ How does the Fourier transform equation depend on these orbits?

Dependency on orbits

- ▶ Remember that for each $\pi \in \mathcal{U}(\text{im } \hat{\sigma})$, we have

$$\sum_{\lambda_i \in \sigma} (\mathcal{F}_{\text{im } \lambda_i} w)(\pi) = \sum_{\nu_j \in \sigma} (\mathcal{F}_{\text{im } \nu_j} w)(\pi).$$

- ▶ The right $\text{GL}_R(A)$ -orbit of σ is a disjoint union of G_{rt} -orbits, parametrized by elements of $\text{Stab}(\sigma) \backslash \text{GL}_R(A) / G_{\text{rt}}$.
- ▶ The generators $\mathcal{U}(\text{im } \hat{\sigma})$ equal the right \mathcal{U} -orbit of π , which is a disjoint union of G_{lt} -orbits, parametrized by elements of $\text{Stab}(\pi) \backslash \mathcal{U} / G_{\text{lt}}$.

What does $(\mathcal{F}_{\text{im } \lambda_j} w)(\pi^u)$ depend on?

- ▶ Fix σ and $\pi \in \mathcal{U}(\text{im } \hat{\sigma})$. Let $\xi \in \text{Stab}(\sigma)$, $\phi \in \text{GL}_R(A)$, $\psi \in G_{\text{rt}}$, $s \in \text{Stab}(\pi)$, $u \in \mathcal{U}$, and $v \in G_{\text{lt}}$. Then (with $y = vx$),

$$\begin{aligned}
 (\mathcal{F}_{\text{im } \sigma \xi \phi \psi} w)(\pi^{suv}) &= \sum_{x \in M / \ker \sigma} w(x \sigma \xi \phi \psi) \pi^{suv}(x) \\
 &= \sum_{x \in M / \ker \sigma} w(x \sigma \phi) \pi^u(vx) \\
 &= \sum_{y \in M / \ker \sigma} w(v^{-1} y \sigma \phi) \pi^u(y) \\
 &= (\mathcal{F}_{\text{im } \sigma \phi} w)(\pi^u).
 \end{aligned}$$

Re-write the Fourier transform equation

- ▶ Remember that for each $\pi \in \mathcal{U}(\text{im } \hat{\sigma})$, we have

$$\sum_{\lambda_j \in \sigma \text{ GL}_R(A)} (\mathcal{F}_{\text{im } \lambda_j} w)(\pi) = \sum_{\nu_j \in \sigma \text{ GL}_R(A)} (\mathcal{F}_{\text{im } \nu_j} w)(\pi).$$

- ▶ Break up sum into pieces that depend on the G_{rt} -orbits of σ .
- ▶ Get an equation for each generator of the form π^u , as π^u varies over different G_{lt} -orbits of π .

Counting functions

- ▶ For each $\tau \in \text{Stab}(\sigma) \backslash \text{GL}_R(A) / G_{\text{rt}}$, set

$$\beta(\tau) = |\{i : \lambda_i \in \sigma\tau G_{\text{rt}}\}| - |\{j : \nu_j \in \sigma\tau G_{\text{rt}}\}|.$$

- ▶ At π^u , $u \in \text{Stab}(\pi) \backslash \mathcal{U} / G_{\text{lt}}$, Fourier transform equation becomes

$$\sum_{\tau} \beta(\tau) (\mathcal{F}_{\text{im } \sigma\tau} w)(\pi^u) = 0.$$

- ▶ View as matrix equation with rows given by τ and columns given by u .

Bringing in condition (1)

- ▶ Condition (1) had $Q_{\phi,u}^B = \sum_{b \in B} w(b\phi)\chi(ub)$.
- ▶ Recall: $(\mathcal{F}_{\text{im } \sigma \tau} w)(\pi^u) = \sum_{x \in M/\ker \sigma} w(x\sigma\tau)\pi^u(x)$.
- ▶ Use $(\widehat{M} : \ker \sigma) \cong (M/\ker \sigma)^{\widehat{}} \cong (\text{im } \sigma)^{\widehat{}}$:
 $\pi \in (\widehat{M} : \ker \sigma) \leftrightarrow \rho \in (\text{im } \sigma)^{\widehat{}}$, with $\pi(x) = \rho(x\sigma)$
 or $\rho(b) = \pi(x_b)$ where $x_b\sigma = b$.
- ▶ Then $(\mathcal{F}_{\text{im } \sigma \tau} w)(\pi^u) = \sum_{b \in \text{im } \sigma} w(b\tau)\rho(ub)$.
- ▶ This is condition (1) for $B = \text{im } \sigma$.
- ▶ Generating character χ for \widehat{A} restricts to a generator for any \widehat{B} .

Applying condition (1)

- ▶ By condition (1), we have $\beta(\tau) = 0$ for all τ . I.e., $|\{i : \lambda_i \in \sigma\tau G_{\text{rt}}\}| = |\{j : \nu_j \in \sigma\tau G_{\text{rt}}\}|$, any τ .
- ▶ Choose a matching: for any of these j , there is an $i = P(j)$ and $\phi_j \in G_{\text{rt}}$ such that $\nu_j = \lambda_{P(j)}\phi_j$.
- ▶ Then $w(x\nu_j) = w(x\lambda_{P(j)}\phi_j) = w(x\lambda_{P(j)})$, $x \in M$.
- ▶ Subtract these terms from the isometry condition, and proceed recursively.
- ▶ From remaining $\text{im } \hat{\lambda}_i$, $\text{im } \hat{\nu}_j$, choose one that is maximal, etc. Repeat.

Dyshko's result on Lee weight

- ▶ Consider $R = \mathbb{Z}/N\mathbb{Z}$ with the Lee weight.
- ▶ By some clever estimates, Dyshko shows that (a permutation of) the matrix Q^B is diagonally dominant, hence invertible.
- ▶ Uses fact that for $ab = c$, $\mathbb{Z}/a\mathbb{Z} \hookrightarrow \mathbb{Z}/c\mathbb{Z}$, $x \mapsto bx$, the restriction of the Lee weight of $\mathbb{Z}/c\mathbb{Z}$ to $b(\mathbb{Z}/a\mathbb{Z})$ is b times the Lee weight of $\mathbb{Z}/a\mathbb{Z}$.
- ▶ I won't go into the details.

Thank you

- ▶ Thank you for your kind attention during this series of lectures.
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